

# Processes

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process.tex 169 2016-11-09 06:52:00Z jimahl

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# Overview

- 1 Process Concept
  - What is a process?
  - In the OS
- 2 Process Scheduling
  - What is process scheduling?
  - Schedulers
- 3 Operations on Processes
  - How does processes come into being?
- 4 Interprocess Communication (IPC)
  - Independent vs cooperating
  - Different types of IPC
- 5 Testing the C examples in the book
  - Compiling

# Literature

This lecture covers the first half of process management. It gives an overview of Chapter 3 “Process Concept” in [SGG13]

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# What is a process?

- Process is the general term for CPU-activity.
- The basic executing entity in an operating system (OS).
- Process is a program in execution.
- Program is not a process:
  - Run the same program several times will spawn many unique processes.

# What is a process?

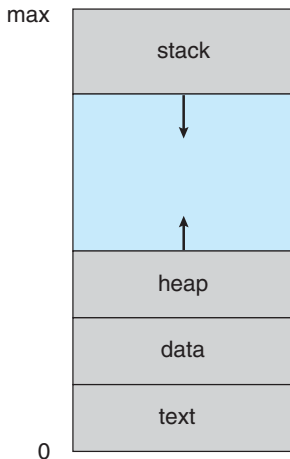


Figure: An schematic of an in-memory process. Image: [SGG09, Fig. 3.1, p. 102].

# In the OS

- Must share resources fairly among all processes.
- OS has to keep track of all processes.
- OS uses a structure called Process Control Block (PCB) for this.

# In the OS

## PCB

- Process state
- Program counter
- CPU-registers
- Scheduling information
- Memory-information
- Accounting information
- I/O-information



# In the OS

State What state the process is currently in:

- New,
- Running,
- Waiting,
- Ready, and
- Terminated.

# In the OS

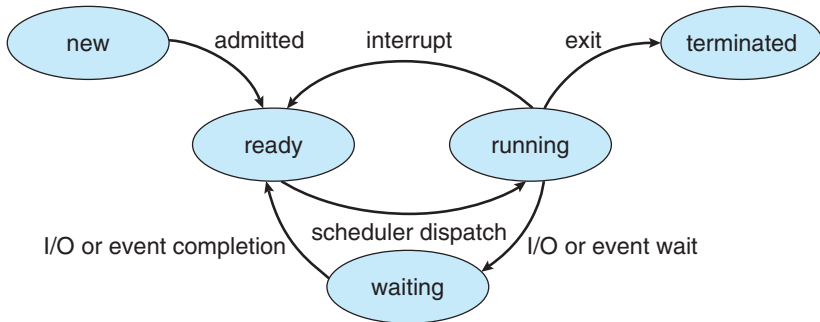


Figure: State-transition diagram. Image: [SGG09, p. 103].

# In the OS

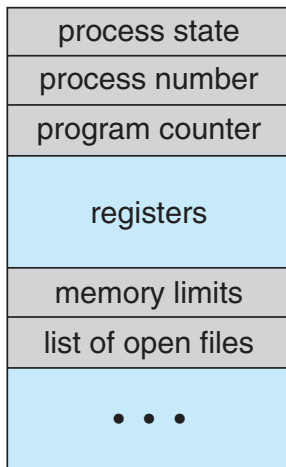


Figure: The PCB. Image: [SGG09].

In the OS

Program counter Where in the program code the process is currently executing.

**CPU-registers** A copy of the values in all CPU-registers, as the CPU-registers are used by the currently executing process.

Scheduling info Priorities, scheduling queues.

Memory-info Base and limit registers, page tables.

Accounting How much CPU-time spent, how much I/O-time spent, time left of time-slice, process ID.

I/O-info Allocated I/O-devices, open files.

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# What is process scheduling?

- In a multiprogramming system, the main idea is to use the resources fully – never idly waiting around.
- When one process is waiting for I/O, let another process execute on the CPU.
- How to manage this? By using a scheduler.

# What is process scheduling?

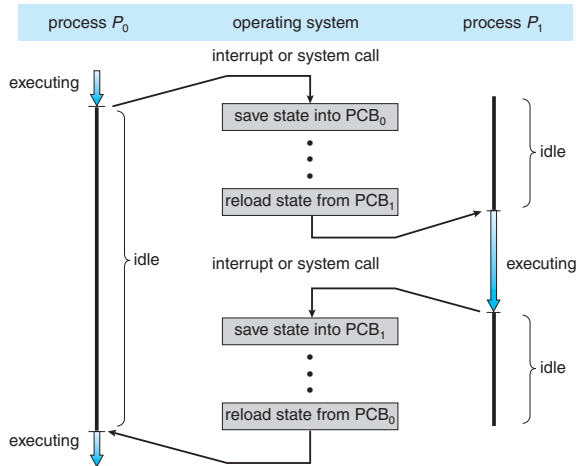


Figure: Context switch from one process to another. Image: [SGG09].





# Schedulers

- Long-term scheduler Comes from purely multiprogramming systems. Jobs are submitted to the system, they are stored on disk. Eventually the long-term scheduler selects a job from disk and loads it into memory.
- Medium-term scheduler This scheduler is used for swapping out processes to disk, to free some more memory for the currently executing processes.
- Short-term scheduler (Also CPU-scheduler) This scheduler chooses which process in memory is to be allowed to execute on the CPU. If one process blocks for I/O, then the short-term scheduler quickly chooses another process and allocates it to the CPU.

# Schedulers

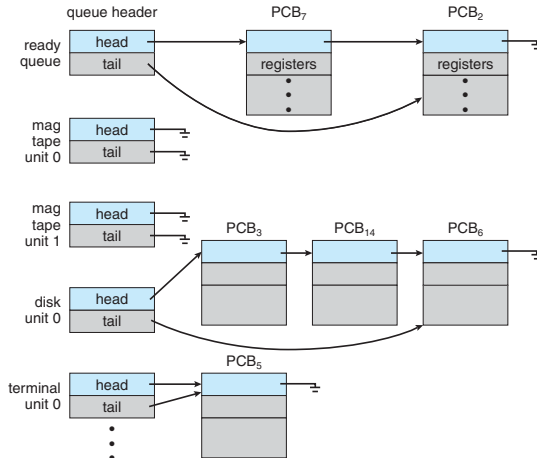


Figure: Different queues with some PCBs in them. Image: [SGG09].

# Schedulers

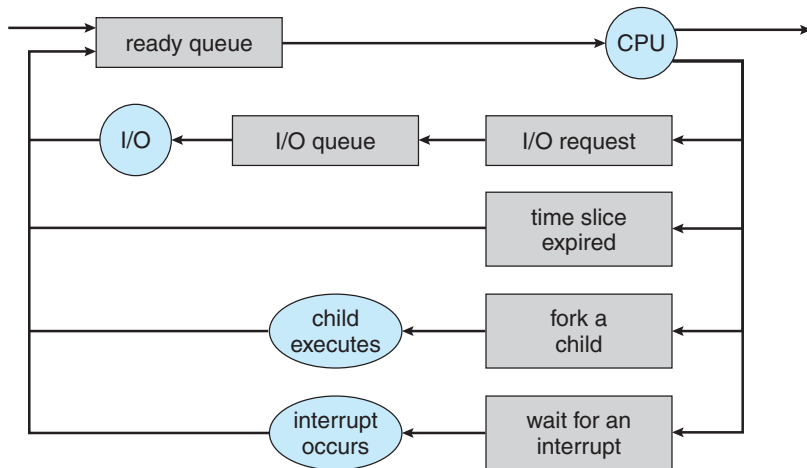


Figure: An illustration of a process's execution. Image: [SGG09].

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# How does processes come into being?

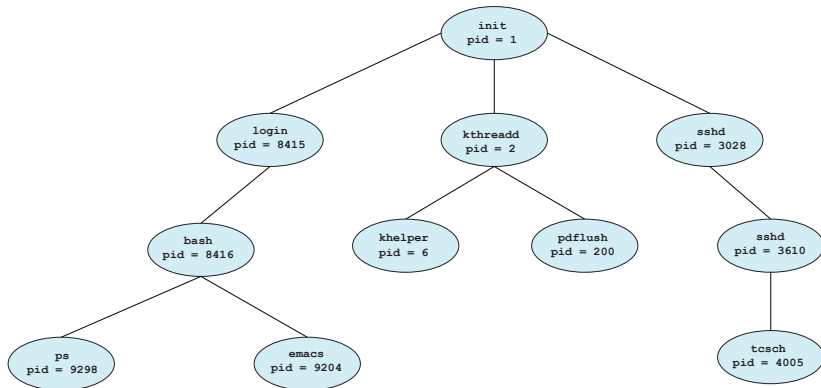


Figure: An example of a process tree from a Solaris system. Image: [SGG09].

# How does processes come into being?

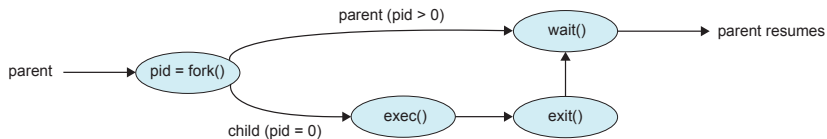


Figure: An illustration of the UNIX `fork(2)` system call. Image: [SGG09].

# How does processes come into being?

- The parent then waits for its children to finish, using the `wait(2)` system call.
- An alternative is to kill the children, using the `kill(2)` system call.
- If the parent exits, using the `exit(2)` system call, then the children are assigned a new parent, namely the special `init` process.



# How does processes come into being?

- We also have the `exec(2)` system call, which replaces the calling process with another process.

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# Independent vs cooperating

- A process can be independent if it works on its own.
- If it doesn't work on its own, it's cooperating, i.e. it can affect other processes behaviour.

# Independent vs cooperating

## Reasons for cooperating

Information sharing Concurrent access to the same file by several users.

Computation speed-up Break a given task into subtasks and let different processes execute the different subtasks, then collect the results.

Modularity Replacing one process if we want to do one part differently.

# Different types of IPC

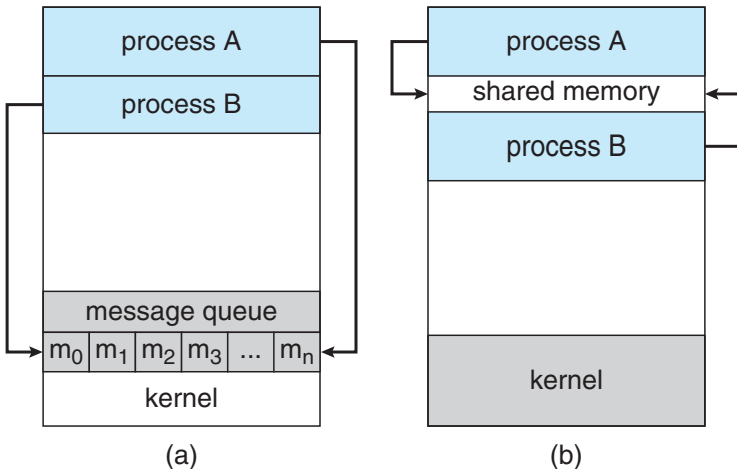


Figure: Two categories of IPC: (a) message-passing, (b) shared memory.  
Image: [SGG09].

# Different types of IPC

- Several ways to implement message-passing.
- A few examples are:
  - Pipes,
  - Local procedure calls (LPC),
  - Sockets,
  - RPC (uses sockets),

# Different types of IPC

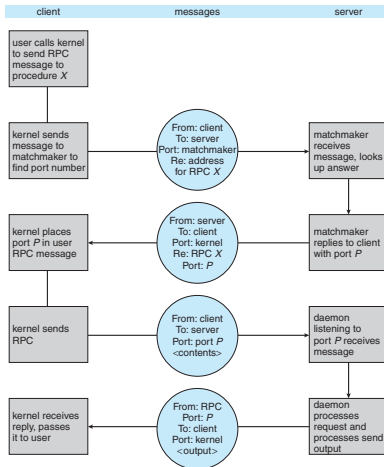


Figure: An example of an RPC. Image: [SGG09].

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# Compiling

- Download source from website, or just write it in any text editor (same as for Python).
- Go to the directory where the source file is stored.
- Compile the source code into executable code.
- Run!

# Compiling

```
1 $ ${EDITOR} example.c
2 $ cc -o example example.c
3 [some output]
4 $ ./example
```

# Referenser I



Abraham Silberschatz, Peter Baer Galvin, and Greg Gagne. *Operating System Concepts*. 8th ed. International Student Version. Hoboken, N.J.: John Wiley & Sons Inc, 2009.



Abraham Silberschatz, Peter Baer Galvin, and Greg Gagne. *Operating System Concepts*. 9th ed. International Student Version. Hoboken, N.J.: John Wiley & Sons Inc, 2013.